RDA Name Authorities
What's new and different from AACR2?

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October 17, 2012
Metaphors and Botany

- High Level
- Philosophical
Metaphors and Botany

• Authorized access points
• 4XX references
• 5XX references and relationship designators
Metaphors and Botany

- Conferences
- Subtle differences between RDA and AACR2
- A Visitor
Forest View

- 9: Identifying Persons
  - 9.0 Purpose and Scope
  - 9.1 General Guidelines on Identifying Persons
    - 9.2 Name of the Person
    - 9.3 Date Associated with the Person
    - 9.4 Title of the Person
    - 9.5 Fuller Form of Name
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    - 9.10 Country Associated with the Person
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- Chapter 22. Headings for Persons
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    - 22.1D. Diacritical marks and hyphens
  - 22.2. Choice Among Different Names
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    - Additions to Names
  - General
    - Additions to Distinguish Identical Names
    - Special Rules for Names in Certain Languages
Forest View

- **10**: Identifying Families
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  - **10.1** General Guidelines on Identifying Families
  - **10.2** Name of the Family
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  - **10.6** Prominent Member of the Family
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  - **10.9** Identifier for the Family
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Chapter 23. Geographic Names

- 23.1. Introductory Note
- 23.2. General Rules
- 23.3. Changes of Name
- 23.4. Additions
- 23.5. Place Names Including or Requiring a Term Indicating a Type of Jurisdiction

- 16: Identifying Places
  - 16.0 Purpose and Scope
  - 16.1 General Guidelines on Identifying Places
  - 16.2 Name of the Place
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  - 16.4 Constructing Access Points to Represent Places
Forest View

- 11: Identifying Corporate Bodies
  - 11.0 Purpose and Scope
  - 11.1 General Guidelines on Identifying Corporate Bodies
  - 11.2 Name of the Corporate Body
  - 11.3 Place Associated with the Corporate Body
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  - 11.5 Associated Institution
  - 11.6 Number of a Conference, Etc.
  - 11.7 Other Designation Associated with the Corporate Body
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  - 11.12 Identifier for the Corporate Body
  - 11.13 Constructing Access Points to Represent Corporate Bodies

Chapter 24. Headings for Corporate Bodies
- 24.1. General Rule
- 24.2. Variant Names. General Rules
- 24.3. Variant Names. Special Rules
- Additions, Omissions, and Modifications
- Subordinate and Related Bodies
- Special Rules
- Government Bodies and Officials
- Special Rules
- Religious Bodies and Officials
Tree View

100 1 Lancaster, Mark ♯c (Nuclear physicist)

100 1 Kunz, Wolfgang, ♯d active 2010

100 0 Rurik, ♯d -approximately 879
Best practice guidelines for RDA:

- Record variants found in the manifestation being cataloged:
  - Use cataloger's judgment;
  - No limitation on the number or form of references
- Establish additional headings needed to support elements used in 4XX references (e.g. parent body associated with subordinate body being established)
- Trace a former heading as a cross-reference in all cases, unless the former heading was egregiously incorrect
- Use the established form of components in 4XX references, except for non-Latin script references
- If a form found on the manifestation being cataloged includes a variant form of a component of a 4XX reference, that form may be used in its entirety as an additional 4XX reference, provided that it is not divided into its component parts through the use of subfields.
Tree View

Example 1

100 1# $a Faulkner, William, $d 1897-1962. $t Short stories. $k Selections
400 1# $a Faulkner, William, $d 1897-1962. $t Uncollected stories of William Faulkner
430 #0 $a Uncollected stories of William Faulkner

Example 2

110 1# $a United States. $b Bureau of Labor Statistics
410 2# $a Estados Unidos de América, Buró de Estadísticas Laborales

not

410 2# $a Estados Unidos de América. $b Buró de Estadísticas Laborales

Example 3

110 2# $a Zhongguo yi ked a xue. $b Fu shu di 1 yi yuan
410 2# $a China Medical University, First Affiliated Hospital

not

410 2# $a China Medical University. $b First Affiliated Hospital
Tree View

General

NACO catalogers applying RDA instructions may use subfield $i in conjunction with subfield $w code "r" for relationship designators. When applying RDA relationship designators in 5XXs supply terms from Appendix J or K; capitalize the initial letter of the term and follow the term with a colon.

As of November 1, 2011, some LC catalogers will apply RDA instructions and may use subfield $i in conjunction with subfield $w code "r" for relationship designators.

LC catalogers will continue to use subfield $w values defined for earlier/later non conference corporate names and pseudonyms in lieu of subfield $i relationship designators.

LC/NACO catalogers may use subfield $i with subfield $w coded "r" when providing relationship links between a personal name and the name of another person, family, or corporate body. When using a term from Appendix K in subfield $i, use a capital letter for the first letter of the term and follow the term with a colon.
K.4 Relationship Designators for Related Corporate Bodies

K.4.1 Relationship Designators to Relate Corporate Bodies to Persons

Record an appropriate term from the list below with the authorized access point or identifier for a related corporate body (see 32.1 RDA). Apply the general guidelines on using relationship designators given under K.1. RDA.

- **employer** A corporate body that employs the person.
- **founded organization** A corporate body that the person founded.
- **group member of** A corporate body (performing group, expedition, etc.) of which the person is a member.
General

NACO catalogers may use \texttt{subfield $i$} with \texttt{subfield $w$ coded "r"} when providing relationship links between corporate entities and the names of other persons, families or corporate bodies. In \texttt{subfield $i$} use a capital letter for the first letter of the term and follow the term with a colon.

As of November 1, 2011, LC catalogers using RDA will continue to use codes "a" and "b" in \texttt{subfield $w$} to provide earlier/later relationship links between corporate entities.

Catalogers should use judgment in making reciprocal \texttt{5XXs}, for instance in the case of employer to employee relationship it is only necessary to add the \texttt{510} see also from tracing to the NAR for the personal name NAR (\texttt{100}) and not add a \texttt{500} see also tracing to the NAR for the corporate name (\texttt{110}).
| 1 | 1579 | United States. Congress. House |

See Also: **Pickle, J. J.**
| n     | 96036467 |
| DLC   | eng     |
| DLC   | DLC     |
| DLC   | DGPO    |
| DLC   | EEN     |
| Pickle, J. J. | |
| Roscoe, Tex. | Austin, Tex. |
| U.S. House of Representatives | 1963 | 1995 |
| Politician | |
| male | |
| eng | |
| Pickle, Jake | |
| Pickle, James Jarrell | |
| Pickle, J. J. "Jake" | |
| Group member of: United States, House | |
| LC data base, 4/15/96 | (hdg.: Pickle, J. J.; usage J. J. "Jake" Pickle) |
| Wikipedia WWW site, Oct. 17, 2011 | (J.J. Pickle; James Jarrell Pickle; b. October 11, 1913 in Roscoe, Texas; d. June 18, 2005 in Austin; also known as J.J. 'Jake' Pickle; a United States Representative from the 10th congressional district of Texas from 1963 to 1995) | |
24.7. Conferences, Congresses, Meetings, Etc.

24.7A. Omissions

24.7A1.
Omit from the name of a conference, etc. (including that of a conference entered subordinately, see 24.13), indications of its number, frequency, or year(s) of convocation.

24.7B. Additions

24.7B1. General rule
Add to the name of a conference, etc. (including that of a conference entered subordinately, see 24.13), the number of the conference, etc. (if appropriate), the year(s), and the place(s) in which it was held. Separate these elements by a space, colon, space.

Name Authority Records

On the name authority record for a conference heading, do not add the number, date, or place to the name when the conference is an ongoing one, even if all the meetings were held in one place (cf. 24.7B4). Apply this both to meetings entered directly under their own names and to meetings entered subordinately to headings for corporate bodies.
11.2.2.11 Number or Year of Convocation of a Conference, Etc.

Omit from the name of a conference, congress, meeting, exhibition, fair, festival, etc., (including that of a conference, etc., treated as a subordinate body, see 11.2.2.14 RDA), indications of its number, or year or years of convocation, etc.

Biennial Symposium on Active Control of Vibration and Noise

*not* Sixth Biennial Symposium on Active Control of Vibration and Noise
Forest Floor View

LC-PCC PS for 11.13.1.8 RDA

NUMBER, DATE, AND LOCATION OF A CONFERENCE, ETC.

Authority Records for Ongoing Conferences

*LC practice/PCC practice:* For ongoing conferences, separate authority records may be created for the collective conference (typically made when cataloging a serial), an individual instance of an ongoing conference (typically made when cataloging a monograph), or both. These records may coexist in the LC/NACO authority file. A record for the collective conference should always be made to connect the earlier and later names of the collective conference.
Forest Floor View

EXAMPLE

111 2#  $a ILCLI International Workshop on Cognitive Science
411 2#  $a International Workshop on Cognitive Science
411 2#  $a Institute for Logic, Cognition, Language, and Information International Workshop on Cognitive Science
411 2#  $a IWCogSc (Workshop)
511 2#  $w a $a International Colloquium on Cognitive Science
667 ##  $a See also related access points for individual instances of this conference which include specific information about the number, date, or place of the individual conference.
Forest Floor View

22.1B. Determine the name by which a person is commonly known from the chief sources of information (see 1.0A) of works by that person issued in his or her language. If the person works in a nonverbal context (e.g., a painter, a sculptor) or is not known primarily as an author, determine the name by which he or she is commonly known from reference sources issued in his or her language or country of residence or activity.

24.1A. Enter a corporate body directly under the name by which it is commonly identified, except when the rules that follow provide for entering it under the name of a higher or related body (see 24.13) or under the name of a government (see 24.18).

Determine the name by which a corporate body is commonly identified from items issued by that body in its language (see also 24.3A), or, when this condition does not apply, from reference sources.
Forest Floor View

9.2.2.2 Sources of Information
Determine the preferred name for a person from the following sources (in order of preference):

a) the preferred sources of information (see 2.2.2 RDA) in resources associated with the person
b) other formal statements appearing in resources associated with the person
c) other sources (including reference sources).

For additional instructions on names of persons that appear in more than one language form, see 9.2.2.5.2 RDA; for names written in a script that differs from the preferred script of the agency creating the data, see 9.2.2.5.3 RDA.

11.2.2.2 Sources of Information
Determine the preferred name for a corporate body from the following sources (in order of preference):

a) the preferred sources of information (see 2.2.2 RDA) in resources associated with the corporate body
b) other formal statements appearing in resources associated with the corporate body
c) other sources (including reference sources).
The Visitor

Summary of Programmatic Changes to the LC/NACO Authority
File: What LC-PCC RDA Catalogers need to know
PHASE 1 CHANGES TO LC/NACO AUTHORITY FILE

Phase 1 of the modification of the LC/NACO Authority File for use under RDA involves the identification of records that are known or likely to be incompatible with RDA. A program will add a 667 field to authority records whose 1XX fields are, or are likely to be, incompatible with RDA. Records with this 667 field must be reviewed or updated before the 1XX field may be used in an RDA record.

Phase 1 involves only the addition of this 667 field to certain authority records. During Phase 1, no change will be made to the 1XX, 4XX or 5XX fields in any authority record. The 667 field will have the following text:

THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL THIS RECORD HAS BEEN REVIEWED AND/OR UPDATED

RDA-trained PCC catalogers encountering a name authority record (NAR) with this 667 field should evaluate the 1XX field, and the remainder of the authority record. If the evaluation determines that the existing 1XX field can be used under RDA as given, the cataloger should remove the 667 field, add any additional non-heading fields, and re-code the record to RDA. If the evaluation determines that the existing 1XX needs to be updated to be made acceptable for use under RDA, the cataloger should revise the heading, make a reference from the former heading when applicable, remove the 667 field, add any additional non-heading fields of their choosing, and re-code the record to RDA.
In summary:

1. Pre-AACR2 authority records (including AACR2-compatible authority records): thoroughly evaluate the contents of the authority record. If the 1XX field is, or can be made, acceptable for use under RDA, re-code the entire authority record to RDA and remove the 667.

2. AACR2 authority records: If the 1XX field is acceptable for use under RDA as given, remove the 667 field; re-code the authority record to RDA. If the 1XX field can be made acceptable for use under RDA, upgrade the 1XX field and other parts of the record, re-code the record to RDA, and remove the 667 field. If the 1XX field is not and cannot be made acceptable for use under RDA, leave the existing record alone and consider other possibilities.

3. AACR2 authority records: If the NAR does not contain a 667 note with the notice that it cannot be used under RDA unless reviewed and the 1XX is acceptable under RDA instructions, catalogers may re-code the NAR to RDA. If the 1XX is not acceptable under RDA and would need to be modified to conform to RDA instructions do not re-code per the PCC policy at:
Thanks!