

# ALCTS

Association for Library Collections & Technical Services

A DIVISION OF THE AMERICAN LIBRARY ASSOCIATION

## **RDA Name Authorities**

### **What's new and different from AACR2?**

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Cooperative Programs Section

Library of Congress

October 17, 2012

# Metaphors and Botany

- High Level
- Philosophical

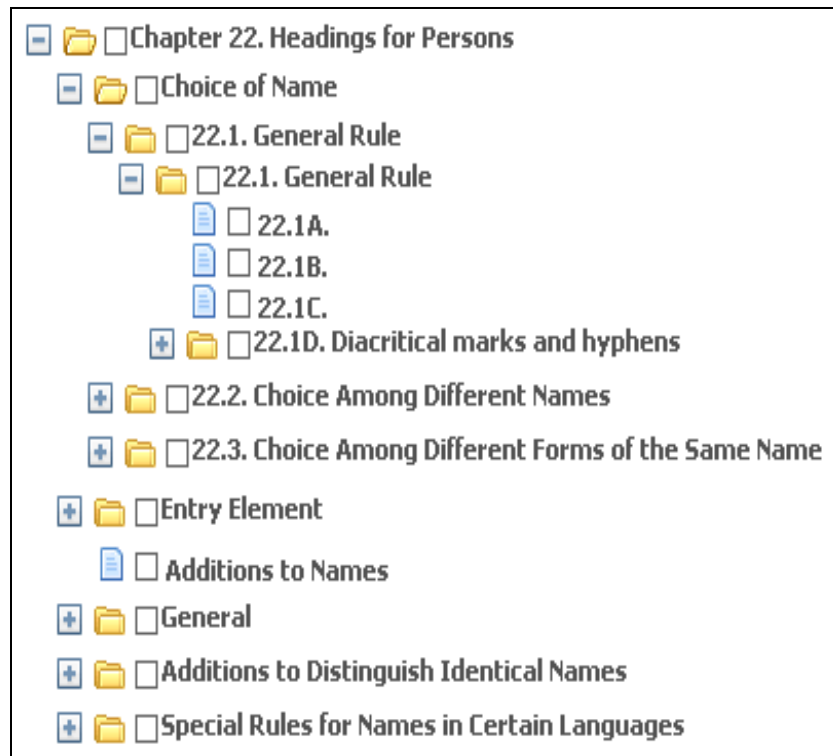
# Metaphors and Botany

- Authorized access points
- 4XX references
- 5XX references and relationship designators

# Metaphors and Botany

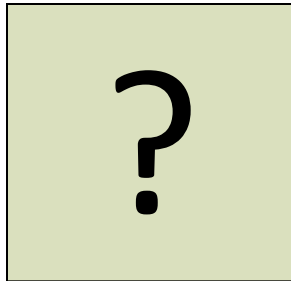
- Conferences
- Subtle differences between RDA and AACR2
- A Visitor

# Forest View















- 9: Identifying Persons
  - 9.0 Purpose and Scope
  - + 9.1 General Guidelines on Identifying Persons
  - + 9.2 Name of the Person
  - + 9.3 Date Associated with the Person
  - + 9.4 Title of the Person
  - + 9.5 Fuller Form of Name
  - + 9.6 Other Designation Associated with the Person
  - + 9.7 Gender
  - + 9.8 Place of Birth
  - + 9.9 Place of Death
  - + 9.10 Country Associated with the Person
  - + 9.11 Place of Residence
  - + 9.12 Address of the Person
  - + 9.13 Affiliation
  - + 9.14 Language of the Person
  - + 9.15 Field of Activity of the Person
  - + 9.16 Profession or Occupation
  - + 9.17 Biographical Information
  - + 9.18 Identifier for the Person
  - + 9.19 Constructing Access Points to Represent Persons

# Forest View























- **10:** Identifying Families
  - 10.0** Purpose and Scope
  - + **10.1** General Guidelines on Identifying Families
  - + **10.2** Name of the Family
  - + **10.3** Type of Family
  - + **10.4** Date Associated with the Family
  - + **10.5** Place Associated with the Family
  - + **10.6** Prominent Member of the Family
  - + **10.7** Hereditary Title
  - + **10.8** Family History
  - + **10.9** Identifier for the Family
  - + **10.10** Constructing Access Points to Represent Families

# Forest View

-   Chapter 23. Geographic Names
  -   23.1. Introductory Note
  -   23.2. General Rules
  -   23.3. Changes of Name
  -   23.4. Additions
  -   23.5. Place Names Including or Requiring a Term Indicating a Type of Jurisdiction

- **16:** Identifying Places
  - 16.0** Purpose and Scope
  - + **16.1** General Guidelines on Identifying Places
  - + **16.2** Name of the Place
  - 16.3** Identifier for the Place
  - + **16.4** Constructing Access Points to Represent Places















# Forest View

		<input type="checkbox"/>	Chapter 24. Headings for Corporate Bodies
		<input type="checkbox"/>	24.1. General Rule
		<input type="checkbox"/>	24.2. Variant Names. General Rules
		<input type="checkbox"/>	24.3. Variant Names. Special Rules
		<input type="checkbox"/>	Additions, Omissions, and Modifications
		<input type="checkbox"/>	Subordinate and Related Bodies
		<input type="checkbox"/>	Special Rules
		<input type="checkbox"/>	Government Bodies and Officials
		<input type="checkbox"/>	Special Rules
		<input type="checkbox"/>	Religious Bodies and Officials

-	<b>11:</b>	Identifying Corporate Bodies
	<b>11.0</b>	Purpose and Scope
+	<b>11.1</b>	General Guidelines on Identifying Corporate Bodies
+	<b>11.2</b>	Name of the Corporate Body
+	<b>11.3</b>	Place Associated with the Corporate Body
+	<b>11.4</b>	Date Associated with the Corporate Body
+	<b>11.5</b>	Associated Institution
+	<b>11.6</b>	Number of a Conference, Etc.
+	<b>11.7</b>	Other Designation Associated with the Corporate Body
+	<b>11.8</b>	Language of the Corporate Body
+	<b>11.9</b>	Address of the Corporate Body
+	<b>11.10</b>	Field of Activity of the Corporate Body
+	<b>11.11</b>	Corporate History
+	<b>11.12</b>	Identifier for the Corporate Body
+	<b>11.13</b>	Constructing Access Points to Represent Corporate Bodies

















# Forest View

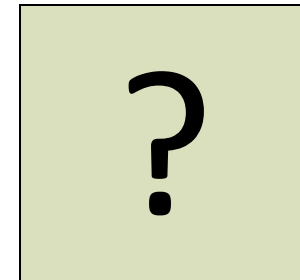
- [-]  Chapter 25. Uniform Titles
  - [+]  25.1. Use of Uniform Titles
  - [+]  25.2. General Rule
  - [+]  Individual Titles
  - [+]  Collective Titles
  - [+]  Special Rules for Certain Types of Work
  - [+]  Laws, Treaties, Etc.
  - [+]  Sacred Scriptures
  - [+]  Liturgical Works, Theological Creeds, Confessions of Faith, etc.
  - [+]  Official Papal Communciations, etc.
  - [+]  Music
    - [+]  Individual Titles
    - [+]  Collective Titles
    - [+]  Additions

- 6: Identifying Works and Expressions
  - 6.0 Purpose and Scope
    - + 6.1 General Guidelines on Identifying Works and Expressions
    - + 6.2 Title of the Work
    - + 6.3 Form of Work
    - + 6.4 Date of Work
    - + 6.5 Place of Origin of the Work
    - + 6.6 Other Distinguishing Characteristic of the Work
    - + 6.7 History of the Work
    - + 6.8 Identifier for the Work
    - + 6.9 Content Type
    - + 6.10 Date of Expression
    - + 6.11 Language of Expression
    - + 6.12 Other Distinguishing Characteristic of the Expression
    - + 6.13 Identifier for the Expression

- + 6.14 Title of a Musical Work
- + 6.15 Medium of Performance
- + 6.16 Numeric Designation of a Musical Work
- + 6.17 Key
- + 6.18 Other Distinguishing Characteristic of the Expression of a Musical Work
- + 6.19 Title of a Legal Work
- + 6.20 Date of a Legal Work
- + 6.21 Other Distinguishing Characteristic of a Legal Work
- + 6.22 Signatory to a Treaty, Etc.
- + 6.23 Title of a Religious Work
- + 6.24 Date of Expression of a Religious Work
- + 6.25 Other Distinguishing Characteristic of the Expression of a Religious Work
- + 6.26 Title of an Official Communication
- + 6.27 Constructing Access Points to Represent Works and Expressions

# Forest View

-    Chapter 26. References
  -   26.1. General Rule
  -   26.2. Names of Persons
  -   26.3. Geographic Names and Names of Corporate Bodies
  -   26.4. Uniform Titles
  -   26.5. References to Added Entries for Series and Serials
  -   26.6. References Instead of Added Entries Common to Many Editions



# Forest View

010		n 84054427
040		DGPO #b eng #e rda #c DLC #d DLC #d OCoLC #d DLC
046		#f 19300212 #g 20121014
100	1	Specter, Arlen
370		Wichita, Kan. #b Philadelphia, Pa. #e Pa.
373		United States. Congress. Senate #2 naf #s 1981 #t 2011 #v Biographical directory of the United States Congress WWW site, Oct. 15, 2012
373		Republican Party (U.S. : 1854-) #t 2009 #v Biographical directory of the United States Congress WWW site, Oct. 15, 2012
373		Democratic Party (U.S.) #s 2009 #v Biographical directory of the United States Congress WWW site, Oct. 15, 2012
374		Legislator
375		male
377		eng
670		U.S. General Accounting Office. Federal, District of Columbia, and states future prison and correctional institution populations and capacities, 1984: #b t.p. (Arlen Specter, United States Senate)
670		NUCMC data from Univ. of Virginia Lib. for Scott, H. Papers, 1941-1983 #b (Specter, Arlen, 1930-)
670		Members of Congress : 1789-1982: #b p. 1132 (Specter, Arlen; Sen. from Pa.; b. 1930)
670		New York times WWW site, Oct. 15, 2012 #b (in obituary published Oct. 14: Arlen Specter; b. Feb. 12, 1930, Wichita, Kan.; d. Sunday morning [Oct. 14, 2012], Philadelphia, aged 82; irascible senator from Pennsylvania who was at the center of many of the Senate's most divisive legal battles, only to lose his seat in 2010 after quitting the Republican Party to become a Democrat)

# Tree View

100	1		Lancaster, Mark #c (Nuclear physicist)
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100	1		Kunz, Wolfgang, #d active 2010
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100	0		Rurik, #d -approximately 879
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# Tree View

Best practice guidelines for RDA:

- Record variants found in the manifestation being cataloged:
  - Use cataloger's judgment;
  - No limitation on the number or form of references
- Establish additional headings needed to support elements used in [4XX references](#) (e.g. parent body associated with subordinate body being established)
- Trace a former heading as a cross-reference in all cases, unless the former heading was egregiously incorrect
- Use the established form of components in [4XX references](#), except for non-Latin script references
- If a form found on the manifestation being cataloged includes a variant form of a component of a [4XX reference](#), that form may be used in its entirety as an additional [4XX reference](#), provided that it is not divided into its component parts through the use of subfields.

# Tree View

## Example 1

[100](#) 1# \$a Faulkner, William, \$d 1897-1962. \$t Short stories. \$k  
Selections  
[400](#) 1# \$a Faulkner, William, \$d 1897-1962. \$t Uncollected stories of  
William Faulkner  
[430](#) #0 \$a Uncollected stories of William Faulkner

## Example 2

[110](#) 1# \$a United States. \$b Bureau of Labor Statistics  
[410](#) 2# \$a Estados Unidos de América, Buró de Estadísticas Laborales

not

[410](#) 2# \$a Estados Unidos de América. \$b Buró de Estadísticas  
Laborales

## Example 3

[110](#) 2# \$a Zhongguo yi ked a xue. \$b Fu shu di 1 yi yuan  
[410](#) 2# \$a China Medical University, First Affiliated Hospital

not

[410](#) 2# \$a China Medical University. \$b First Affiliated Hospital

# Tree View

## General

NACO catalogers applying RDA instructions may use [subfield \\$i](#) in conjunction with [subfield \\$w code "r"](#) for relationship designators. When applying RDA relationship designators in [5XXs](#) supply terms from [Appendix J](#) or [K](#); capitalize the initial letter of the term and follow the term with a colon.

As of November 1, 2011, some LC catalogers will apply RDA instructions and may use [subfield \\$i](#) in conjunction with [subfield \\$w code "r"](#) for relationship designators.

LC catalogers will continue to use [subfield \\$w](#) values defined for earlier/later non conference corporate names and pseudonyms in lieu of [subfield \\$i](#) relationship designators.

LC/NACO catalogers may use [subfield \\$i](#) with [subfield \\$w coded "r"](#) when providing relationship links between a personal name and the name of another person, family, or corporate body. When using a term from [Appendix K](#) in [subfield \\$i](#), use a capital letter for the first letter of the term and follow the term with a colon.

# Tree View

## K.4 Relationship Designators for Related Corporate Bodies

### K.4.1 Relationship Designators to Relate Corporate Bodies to Persons

Record an appropriate term from the list below with the authorized access point or identifier for a related corporate body (see [32.1 RDA](#)). Apply the general guidelines on using relationship designators given under [K.1. RDA](#)

**employer** A corporate body that employs the person.

**founded organization** A corporate body that the person founded.

**group member of** A corporate body (performing group, expedition, etc.) of which the person is a member.



# Tree View

## General

NACO catalogers may use [subfield \\$i](#) with [subfield \\$w coded "r"](#) when providing relationship links between corporate entities and the names of other persons, families or corporate bodies. In [subfield \\$i](#) use a capital letter for the first letter of the term and follow the term with a colon.

As of November 1, 2011, LC catalogers using RDA will continue to use codes "[a](#)" and "[b](#)" in [subfield \\$w](#) to provide earlier/later relationship links between corporate entities.

Catalogers should use judgment in making reciprocal [5XXs](#), for instance in the case of employer to employee relationship it is only necessary to add the [510](#) see also from tracing to the NAR for the personal name NAR ([100](#)) and not add a [500](#) see also tracing to the NAR for the corporate name ([110](#)).

# Tree View

<b>Authorized &amp; References</b>	1579	United States. Congress. House
1		



See Also: Pickle, J. J.

# Tree View

010		n 96036467
040		DLC #b eng #c DLC #d DLC #d DGPO #d DLC #d IEN #d DLC
046		#f 19131011 #g 20050618
100	1	Pickle, J. J.
370		Roscoe, Tex. #b Austin, Tex. #c U.S.
373		U.S. House of Representatives #s 1963 #t 1995
374		Politician
375		male
377		eng
400	1	Pickle, Jake
400	1	Pickle, James Jarrell
400	1	Pickle, J. J. "Jake"
510	1	#i Group member of: #a United States. #b Congress. #b House #w r ←
670		Jake, c1997: #b CIP t.p. (Jake Pickle) data sheet (James Jarrell "Jake" Pickle; b. Oct. 11, 1913)
670		LC data base, 4/15/96 #b (hdg.: Pickle, J. J.; usage J. J. "Jake" Pickle)
670		U.S. Cong. House. Comm. on Transportation and Infrastructure. J.J. "Jake" Pickle Federal Building, 1998: #b p. 1 (James Jarrell "Jake" Pickle; b. Oct. 11, 1913; U.S. House member, 1963-1995)
670		Wikipedia WWW site, Oct. 17, 2011 #b (J.J. Pickle; James Jarrell Pickle; b. October 11, 1913 in Roscoe, Texas; d. June 18, 2005 in Austin; also known as J.J. 'Jake' Pickle; a United States Representative from the 10th congressional district of Texas from 1963 to 1995)

# Forest Floor View

## 24.7. Conferences, Congresses, Meetings, Etc.

LCRI

MARC

### 24.7A. Omissions

LCRI

#### 24.7A1.

Omit from the name of a [conference](#), etc. (including that of a conference entered subordinatedly, see [24.13](#)), indications of its number, frequency, or year(s) of convocation.

### 24.7B. Additions

LCRI

#### 24.7B1. General rule

Add to the name of a [conference](#), etc. (including that of a conference entered subordinatedly, see [24.13](#)), the number of the conference, etc. (if appropriate), the year(s), and the place(s) in which it was held. Separate these elements by a space, colon, space.

### 24.7B. Additions

AACR2

#### *Name Authority Records*

On the name authority record for a conference heading, do not add the number, date, or place to the name when the conference is an ongoing one, even if all the meetings were held in one place (cf. [24.7B4](#)). Apply this both to meetings entered directly under their own names and to meetings entered subordinatedly to headings for corporate bodies.

# Forest Floor View

## 11.2.2.11 Number or Year of Convocation of a Conference, Etc.

Omit from the name of a conference, congress, meeting, exhibition, fair, festival, etc., (including that of a conference, etc., treated as a subordinate body, see [11.2.2.14 RDA](#)), indications of its number, or year or years of convocation, etc.

Biennial Symposium on Active Control of Vibration and Noise  
*not* Sixth Biennial Symposium on Active Control of Vibration and Noise

# Forest Floor View

LC-PCC PS for  
11.13.1.8 [RDA](#)

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## NUMBER, DATE, AND LOCATION OF A CONFERENCE, ETC.

### Authority Records for Ongoing Conferences

*LC practice/PCC practice:* For ongoing conferences, separate authority records may be created for the collective conference (typically made when cataloging a serial), an individual instance of an ongoing conference (typically made when cataloging a monograph), or both. These records may coexist in the LC/NACO authority file. A record for the collective conference should always be made to connect the earlier and later names of the collective conference.

# Forest Floor View

## EXAMPLE

- 111 2# \$a ILC LI International Workshop on Cognitive Science
- 411 2# \$a International Workshop on Cognitive Science
- 411 2# \$a Institute for Logic, Cognition, Language, and Information International Workshop on Cognitive Science
- 411 2# \$a IWCogSc (Workshop)
- 511 2# \$w a \$a International Colloquium on Cognitive Science
- 667 ## \$a See also related access points for individual instances of this conference which include specific information about the number, date, or place of the individual conference.

# Forest Floor View

22.1B.

LCRI

LACRI

Determine the name by which a person is commonly known from the [chief sources of information](#) (see [1.0A](#)) of works by that person issued in his or her language. If the person works in a nonverbal context (e.g., a painter, a sculptor) or is not known primarily as an [author](#), determine the name by which he or she is commonly known from [reference sources](#) issued in his or her language or country of residence or activity.

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24.1A.

LCRI

Enter a [corporate body](#) directly under the name by which it is commonly identified, except when the rules that follow provide for entering it under the name of a higher or [related body](#) (see [24.13](#)) or under the name of a government (see [24.18](#)).

Determine the name by which a [corporate body](#) is commonly identified from [items](#) issued by that body in its language (see also [24.3A](#)), or, when this condition does not apply, from [reference sources](#).



# Forest Floor View

## 9.2.2.2 Sources of Information

Determine the preferred name for a person from the following sources (in order of preference):

- a) the preferred sources of information (see [2.2.2 RDA](#)) in resources associated with the person
- b) other formal statements appearing in resources associated with the person
- c) other sources (including reference sources).

For additional instructions on names of persons that appear in more than one language form, see [9.2.2.5.2 RDA](#); for names written in a script that differs from the preferred script of the agency creating the data, see [9.2.2.5.3 RDA](#).

## 11.2.2.2 Sources of Information

Determine the preferred name for a corporate body from the following sources (in order of preference):

- a) the preferred sources of information (see [2.2.2 RDA](#)) in resources associated with the corporate body
- b) other formal statements appearing in resources associated with the corporate body
- c) other sources (including reference sources).

# The Visitor



**Summary of Programmatic Changes to the LC/NACO Authority  
File: What LC-PCC RDA Catalogers need to know**

# [http://www.loc.gov/aba/rda/pdf/lcnaf\\_rdaphase.pdf](http://www.loc.gov/aba/rda/pdf/lcnaf_rdaphase.pdf)



## **PHASE 1 CHANGES TO LC/NACO AUTHORITY FILE**

Phase 1 of the modification of the LC/NACO Authority File for use under RDA involves the identification of records that are known or likely to be incompatible with RDA. A program will add a 667 field to authority records whose 1XX fields are, or are likely to be, incompatible with RDA. Records with this 667 field must be reviewed or updated before the 1XX field may be used in an RDA record. Phase 1 involves *only* the addition of this 667 field to certain authority records. **During Phase 1, no change will be made to the 1XX, 4XX or 5XX fields in any authority record.** The 667 field will have the following text:

**THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL THIS RECORD HAS BEEN REVIEWED AND/OR UPDATED**

RDA-trained PCC catalogers encountering a name authority record (NAR) with this 667 field should evaluate the 1XX field, and the remainder of the authority record. If the evaluation determines that the existing 1XX field can be used under RDA as given, the cataloger should remove the 667 field, add any additional non-heading fields, and re-code the record to RDA. If the evaluation determines that the existing 1XX needs to be updated to be made acceptable for use under RDA, the cataloger should revise the heading, make a reference from the former heading when applicable, remove the 667 field, add any additional non-heading fields of their choosing, and re-code the record to RDA.

# <http://www.loc.gov/aba/rda/pdf/lcnafrdaphase.pdf>

In summary:

1. Pre-AACR2 authority records (including AACR2-compatible authority records): thoroughly evaluate the contents of the authority record. If the 1XX field is, or can be made, acceptable for use under RDA, re-code the entire authority record to RDA and remove the 667.
2. AACR2 authority records: If the 1XX field is acceptable for use under RDA as given, remove the 667 field; re-code the authority record to RDA. If the 1XX field can be made acceptable for use under RDA, upgrade the 1XX field and other parts of the record, re-code the record to RDA, and remove the 667 field. If the 1XX field is not and cannot be made acceptable for use under RDA, leave the existing record alone and consider other possibilities.
3. AACR2 authority records: If the NAR does not contain a 667 note with the notice that it cannot be used under RDA unless reviewed and the 1XX is acceptable under RDA instructions, catalogers may re-code the NAR to RDA. If the 1XX is not acceptable under RDA and would need to be modified to conform to RDA instructions do not re-code per the PCC policy at:  
<http://www.loc.gov/aba/pcc/rda/PCC%20Post%20RDA%20Test%20Guidelines.html>

**Thanks!**