Standards For Collection Management
ALCTS Webinar – October 7, 2014

Nettie Lagace, Associate Director of Programs, NISO
Betty Landesman, Head of Technical Services and Content Management, University of Baltimore
Today’s Agenda

• Standards creation
• COUNTER & SUSHI
• SERU
• Transfer

Hosted by ALCTS, Association for Library Collections and Technical Services
What’s NISO?

- Non-profit industry trade association accredited by ANSI with 150+ members
- Mission of developing and maintaining standards related to information, documentation, discovery and distribution of published materials and media
- Represent US interests to ISO TC46 (Information and Documentation) and also serve as Secretariat for ISO TC46/SC 9 (Identification and Description)
- Responsible for standards like ISSN, DOI, Dublin Core metadata, DAISY digital talking books, OpenURL, SIP, NCIP, MARC records and ISBN (indirectly)
- Volunteer driven organization: 400+ spread out across the world
Standards Creation Cycle

Idea
- NISO Approval: Topic Committee & Voting Member

Publication
- Working Group Formed and Works!

Draft for Public Comment
- Formed and Works!

Standing Committee & Maintenance
- Formed and Works!
Standards Creation Cycle

1. Draft for Public Comment
2. Publication
3. Standing Committee & Maintenance

Cycle flow: Draft for Public Comment → Publication → Standing Committee & Maintenance
Get involved!

• Subscribe to *NISO Newsline*
  Send an e-mail to newsline-subscribe@list.niso.org - put “Subscribe Newsline” in the subject line.
  – see announcements of new efforts
  – read *Working Group Connection*

• Volunteer for Working Groups & Committees; Education Committee

• NISO Website

• NISO Webinars, in-person Forums, & Open Teleconferences – every month!

• Standards Updates @ ALA
Definitions support consistent measurement of use of electronic products

Maintained by COUNTER Executive Committee

COP R4 went into effect 2014

  - Further definitions and modifications/expansions to reports
  - Support for SUSHI required
  - Register of Compliant Vendors/Products

Communicates COUNTER-formatted data for automatic transfer from publisher system to library system

Maintained by a NISO Standing Committee

Materials consist of

  - SUSHI standard
  - SUSHI implementation profile
  - Registry
New Stuff

- Code of Practice for Articles R1 – March 2014
- Code of Practice for Usage Factor R1 – April 2014
  - Focus on journals
  - Provides info about the average use of the items in an online journal.
  - Can be used to compare journals irrespective of their size.
Recent & Coming

• Expansion of filter definitions (beyond date)
  – could exclude zero usage items from report (process smaller report from large content providers)
  – provide usage for a specific departmental IP range

• “SUSHI lite” Technical Report
  – a lightweight protocol to transmit 'snippets' of usage via HTTP REST versus SOAP [large reports]
  – could apply to article level usage

http://www.niso.org/workrooms/sushi/librarians/

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• Can be used as alternative to license agreement
• Statement that expresses commonly shared understandings between content provider and subscribing institution
  – Authorized users
  – Nature of content
  – Use of materials
  – Privacy and confidentiality
  – Online performance and service
  – Archiving and perpetual access
• First adopted as NISO Recommended Practice (RP-7) in 2008, with focus on e-journal transactions between library and publisher
• Updated in 2012 to include language that can be applied to a variety of e-resources such as e-books
How Does SERU Work?

- The SERU Document including “Statements of Common Understandings for Acquiring Electronic Resources” is available on the NISO web site at http://www.niso.org/publications/rp/RP-7-2012_SERU.pdf

- If both library and publisher agree to use SERU in place of a license, the library sends a document (e-mail, purchase order) to the publisher stating this along with any terms or concerns not included in SERU
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License fees listed are a one-time fee.
Sounds Great! Where Do I Start?

- Consult the “SERU For Librarians” page, **Getting Started with SERU – for Libraries**, at http://www.niso.org/workrooms/seru/for_librarians/

- Join the Registry (publishers wishing to use SERU with any of their products, libraries/consortia wishing to have SERU apply to any of their resources), available at http://www.niso.org/workrooms/seru/registry/
More Info?

- SERU home page: [http://www.niso.org/workrooms/seru/](http://www.niso.org/workrooms/seru/)
- See who’s on the standing committee charged with providing ongoing support and maintenance of the RP at [http://www.niso.org/workrooms/seru/standingcommittee/](http://www.niso.org/workrooms/seru/standingcommittee/)
• Transfer Code of Practice - set of voluntary best practice guidelines for publishers involved in the transfer of a journal between publishers

• Includes:
  – Provision of ongoing access to online content
  – Exchange of subscriber lists
  – DOI and URL transfer
  – Perpetual access rights to journal content

• UKSG Transfer Working Group released 1st version of the Code of Practice in 2006; version 2.0 September 2008; version 3.0 March 2014

• [http://www.uksg.org/transfer](http://www.uksg.org/transfer)
How Does Transfer Work?

• The Transfer Code of Practice containing roles and responsibilities of transferring and receiving publishers is available on the UKSG web site at http://www.uksg.org/sites/uksg.org/files/TRANSFER_Code_of_Practice_3%200_FINAL.pdf

• Supplementary information and glossary documents (not part of Code itself) are available at http://www.uksg.org/Transfer/Code
More Info

- List of the publishers endorsing the code: http://www.uksg.org/transfer/transfer_publishers
- Enhanced Transfer Alerting Service
  - Journal Transfer Notification Database - http://etas.jusp.mimas.ac.uk/
  - Journal Transfer Notification Form (for participating publishers) - http://etas.jusp.mimas.ac.uk/
  - Transfer Notification Blog - http://uksg-transfer.blogspot.com/
  - And for your up-to-date notification pleasure -
- Join the Transfer Notification List to get e-mail alerts when a journal transfer is announced - https://www.jiscmail.ac.uk/cgi-bin/webadmin?A0=TRANSFER
Where Does NISO Fit In?

- The Transfer Initiative is in the process of moving from UKSG to NISO
- A NISO Standing Committee will provide ongoing support, education, and revisions of the Code of Practice
- NISO Voting Members have approved this step; coordination efforts are under way to have the next meeting of the Transfer Committee take place as a NISO Standing Committee
- NISO will republish Transfer as a NISO RP
Questions From Day 1?

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