

To: ALA/ALCTS/CCS Committee on Cataloging: Description and Access

From: Task Force on Consistency Across Part I of AACR2

Subject: Area 2, Edition Area: Analysis

## General

The analysis of Area 2 is presented into four sections: (a) an outline of the Area 2 rules; (b) the unique rules in Chapter 1; (c) the unique rules in other chapters, and (d) inconsistencies across chapters.

## Outline of the Area 2 rules

With some exceptions, each chapter contains the following rules:

- 2A. Preliminary rule
  - 2A1. Punctuation
  - 2A2. Scope or other preliminary rules  
[in a few chapters; sometimes numbered 2A1]
- 2B. Edition statement
  - 2B1. [general rule for explicit edition statements; 1.2B includes instructions on abbreviations and numerals and non-alphanumeric statements]
  - 2B2. [“in case of doubt” rule]
  - 2B3. [“optional addition” for supplied edition statements not appearing in the resource]
  - 2B4. [parallel edition statements]
  - 2B5. [edition statements in collections lacking a collective title]
  - 2B6. [resources consisting of multiple physical pieces; currently only in 9.2B]
- 2C. Statements of responsibility relating to the edition
  - 2C1. [general rule; references back to chapter 1]
- 2D. Statement relating to a named revision of an edition
  - 2D1. [general rule; references back to chapter 1]
- 2E. Statements of responsibility relating to a named revision of an edition
  - 2E1. [general rule; references back to chapter 1]

The above outline is included so that we can address our charge to look for rules that might be included *only* in Chapter 1, covered by a reference back to Chapter 1 in the other chapters. This seems already to have been done *except* for 2B. The question then is: assuming that 2B1–6 are all included in all the other chapters (which we haven't

accomplished yet), should this section in chapters 2–12 be covered by a reference back to 1.2B plus any exceptions? Or, to put it the other way, is it necessary that any of these basic rules be repeated in all chapters?

## Unique rules in Chapter 1

The following rules are unique in Chapter 1:

- ✓ In **1.2A1**, the instruction about prescribed punctuation for parallel statements does not occur in any other chapter.
- ✓ **1.2A2**: the rule on sources of information for area 2 does not occur in any other chapter, although specific rules occur in X.0B2 (Prescribed sources) in Chapters 2-12.
- ✓ **1.2B1**: the second sentence, on use of abbreviations and numerals, is not included in subsequent chapters, although is presumably covered by the instruction to apply 1.2B which occurs in Chapters 2-12.
- ✓ **1.2B2**: the instruction concerning edition statements that consist solely of non-alphanumeric characters, etc., is not included in subsequent chapters, although again it is presumably covered by the instruction to apply 1.2B.
- ✓ **1.2C1-5**: the specifics about transcribing statements of responsibility relating to the edition occur only in Chapter 1, with the usual instruction in Chapters 2-12 to apply 1.2C.
- ✓ **1.2D1-2**: the specifics about transcribing statements relating to a revision of an edition occur only in Chapter 1, with the usual instruction in Chapters 2-12 to apply 1.2D.
- ✓ **1.2E1-3**: the specifics about transcribing statements of responsibility relating to a revision of an edition occur only in Chapter 1, with the usual instruction in Chapters 2-12 to apply 1.2E.

The general instructions in Chapters 2-12 refer back to these rules in Chapter 1. Nothing needs to be added to those chapters. **Recommendation: No Action.**

## Unique rules in Chapters 2-12

The following rules are unique in Chapters 2-12:

### Chapter 2, Special Rules for Rare Books

- ✓ **2.15B**: There is a rule dealing with edition statements that are an integral part of elements in area 1 or are grammatically linked to such elements.  
**Recommendation:** There was some sentiment for making this part of the 1.2B rules applicable to all materials. Does everybody agree? Bob, what would be the impact on the Special Rules for Rare Books?

## Chapter 4, Manuscripts

- ✓ **4.2A1:** There is a scope statement for the application of the concept of edition to manuscript material. **Recommendation: Do not change.**
- ✓ **[4.2B?]:** Chapter 4 does not include rules about parallel edition statements. **Recommendation: Add the rule to Chapter 4.**
- ✓ **[4.2B?]:** Chapter 4 does not include rules about items lacking a collective title. **Recommendation: Add the rule to Chapter 4.**
- ✓ **[4.2D and E]:** Chapter 4 does not include rules about named revisions of an edition. **Recommendation: Add the rule to Chapter 4.**

## Chapter 5, Music

- ✓ **4.2A2:** There is an instruction to see 4.2 for rules on manuscript music. **Recommendation: Do not change.**

## Chapter 8, Graphic Materials

- ✓ **4.2A2:** There is an instruction to see 4.2 for rules on unpublished graphic items. Note that “manuscript” here becomes “unpublished”; see below in the list on inconsistencies. **Recommendation: Leave the rule; see below on “unpublished.”**

## Chapter 9, Electronic Resources

- ✓ In **9.2B1**, there is an instruction to note the source of the edition statement if it is different from the source of the title proper. **Recommendation: None as yet.**
- ✓ **9.2B3 and 4:** Chapter 9 attempts to give additional guidance about when an issue of a resource incorporates major changes and when the changes are only minor; the implication is that only major changes constitute a new edition and that only statements relating to editions are transcribed. **Recommendation: None as yet.**
- ✓ **9.2B5:** Chapter 9 includes a rule about items consisting of multiple physical carriers. **Recommendation:** Should this be part of the general rule in 1.2B?
- ✓ **9.2D1** includes an instruction about named revisions of an edition which only incorporate minor changes. **Recommendation: None as yet.**

## Chapter 12, Serials

- ✓ **12.2B1a:** The basic rule in Chapter 12 deals, not with *new* editions (as is the implied case in other chapters), but with categories of *simultaneously-issued* editions which might apply to all issues of a serial (e.g., regional editions,

- language editions, physical format editions). **Recommendation: Use the CCC wording at 1.2B3; does the instruction need to be repeated in Chapter 12?**
- ✓ **12.2B2:** Chapter 12 also contains a rule dealing with statements that indicate either frequency or numbering. **Recommendation: Retain.**
  - ✓ **12.2F1:** Chapter 12 contains rules on changes in edition information. **Recommendation: Retain.**

## Inconsistencies Across Chapters

This is the meat of the task. There are some significant differences, which (coincidentally) fall at the beginning of the following list:

- ✓ **Explicit edition statements:** Chapter 1 simply instructs “Transcribe the edition statement,” while other chapters say to transcribe a “statement relating to an edition ... that contains differences from other editions ...” The rule in Chapters 2–11 not only seems to exclude the statement “1st ed.” Even when it appears on the item, it also requires that an edition statement has to be tested against a definition of an edition — a concept that we are trying to move away from towards the concepts of *manifestation* and *expression*. **Recommendation:** A version of the simpler statement in 1.2B1 should be used in all chapters: “Transcribe an edition statement as found on the bibliographic resource. ...” This covers all statements, whether they apply to an edition or to a “named reissue.”
- ✓ **New editions vs. simultaneously-issued editions:** Should the rules on simultaneously-issued editions apply only to serials (12.2B1)? Should the rules on sequentially-issued editions (1.2B1 etc.) *not* apply to serials? **Recommendation:** The rules on simultaneously-issued editions should be generally applicable, and the CCC revision to 1.2B3 does this. The rules on sequentially-issued editions need to be applied to integrating resources, but must be applied very carefully to serials, because editions may be treated as sequential issues and edition numbering may be treated as issue designation, as indicated in 12.2B2.
- ✓ **Language in X.2B1 and X.2C1:** It is noteworthy that the language in Chapters 2, 3, and 5 refer to “editions of that work” while the language in the other chapters refer to “editions of the” item (manuscript, sound recording, motion picture, graphic item, electronic resource, artefact, microform). The question is whether edition is an attribute of the work or of the item. In my opinion, while an *edition statement* is an attribute of the item, an *edition* is an attribute of the work – and it is the concept of *edition* that is being referred to here. **Recommendation:** The proposed language at X.2B1 above makes this point moot for that rule, but it still needs to be resolved at X.2C1. **Revise to consistently use the phrase “edition of a work.”**

- ✓ **“In case of doubt” provisions:** 1.2B3 proposes a set of words that should be taken as evidence of an edition statement; most other chapters refer back to this rule. Chapter 9 adds several terms (release, level, update). Chapter 4 reverses the rule and says *not* to transcribe in case of doubt. Chapter 12 says to transcribe an edition statement for integrating resources *only* “if considered to be important.” **Recommendation:** Use the CCC language for 1.2B3 as the basic rule. Are the exceptions justified? Tentatively, I think that those in Chapter 9 are justified, but that for integrating resources in Chapter 12 is not.
- ✓ **Major/minor changes:** Chapter 9 contains several extensive attempts to distinguish major and minor changes specifically for electronic resources. Is this justified? **Recommendation:** Tentatively, retain the exceptions.
- ✓ **Language regarding parallel edition statements:** A minor discrepancy. Most chapters simply say “if an item lacks an edition statement,” but 7.2B3 says “if a motion picture or videorecording,” 8.2B3 says “if a graphic item,” 9.2B3 says “if an electronic resource,” and 11.2B says “if a microform.” **Recommendation:** change all to “if a resource ...” following Pat Riva’s proposal.
- ✓ **Parallel edition statement in Chapter 12:** Chapter 12 lacks a rule on parallel edition statement (although for integrating resources, this might be covered by the general reference to 1.2B). Is this omission for serials justified? Would such a rule for serials be more acceptable if the basic rule (in chapter 1?) included “if considered to be important”? **Recommendation:** none as yet.
- ✓ **Items lacking a collective title:** Minor difference in wording: Chapter 1 says “transcribe each edition statement following the title ...” while other chapters say “transcribe such statements following the titles ...” **Recommendation:** “title” seems correct to me; other views?
- ✓ **Items lacking a collective title, the sequel:** Chapters 2, 6, 7, 9 and 11 add the condition that the item is “described as a unit.” While this condition clearly must apply before the rule is meaningful, it was not felt to be necessary to state it in the other chapters. Should it be? **Recommendation:** Tentatively, remove the phrase.
- ✓ **Items lacking a collective title in Chapter 12:** Again, Chapter 12 lacks a rule to cover this situation. Is it applicable? If applicable, is the rule appropriate for serials or integrating resources? **Recommendation:** Tentatively, add it (or let it be covered by references back to 1.2B).
- ✓ **Language for named revisions:** The rules in X.2D1 in most chapters distinguish between named revisions of an edition and “a reissue that contains no changes”; chapters 2, 3 and 5, on the other hand, say “an impression or printing.” Since these chapters deal with types of printed material, perhaps the difference is justified, but wouldn’t the term “reissue” work in all the chapters?

**Recommendation:** Use “reissue”; **larger question:** given that the language at X.2B1 no longer distinguishes editions from “named reissues,” perhaps a more thorough change is needed.

- ✓ **Named revisions in Chapter 4:** Chapter 4 lacks 4.2D and 4.2E. Since edition statements for manuscript materials tend to be simpler, perhaps the feeling was that the rules were not needed. **Recommendation:** Add the rules to Chapter 4.
- ✓ **“Manuscript” vs. “unpublished”:** As noted earlier, 4.2 deals with “manuscript works” and 5.2 with “manuscript music” but 8.2 with “unpublished graphic items.” In addition to the question whether we should be talking about items or works, it is not clear whether the leap from “manuscript” in chapter 4 to “unpublished” in chapter 8 is justified. **Recommendation:** none as yet.